MTS-3216US PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicants:

Kenichi Nishiuchi et al.

Filed:

August 4, 2003

Title:

BASE PLATE FOR OPTICAL RECORDING MEDIUM, OPTICAL RECORDING MEDIUM, MASTER PLATE, MASTER PLATE RECORDING APPARATUS AND

SIGNAL GENERATING APPARATUS.

TC/A.U.:

Examiner:

Docket No.:

MTS-3216US1

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application Serial No.09/702,204, filed October 31, 2000, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

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This Information Disclosure Statement is being filed concurrently with the abovereferenced application.

Respectfully submitted,

RatnerPrestia

Allan Katrler, Reg. No. 19,717

Attorney for Applicants

AR/kc

Enclosure: PTO Form 1449

Dated: August 4, 2003

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The Commissioner for Patents is hereby authorized to charge any additional fees/credit any overpayment of fees associated with this communication to Deposit Account No. 18-0350.

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Kathleen Libby

PTO/SB/08a (05-03) (AW 07/03) Approved for use through 05/31/03. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for Form 1449A/PTO		Complete if Kn wn	
	Application Number	To Be Assigned	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	August 1, 2003	
	First Named Inventor	Kenichi Nishiuchi	
	Art Unit		
	Examiner Name		
SHEET 1 of 1	Attorney Docket No.	MTS-3216US1	

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	MT	US-6,343,062	01/2002	Furukawa et al.			
		US-6,172,960	01/2001	Takemura et al.			
		US-6,118,752	09/2000	Miyagawa et al.			
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FOREIGN PATENT DOCUMENTS							
		Foreign Patent Document			of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Cite Initials* No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>		
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Examiner Signature					Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).
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<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND T: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.